National Aeronautics and Space Admin.

Component Facilities, and Technical and Service Support Centers and will be followed in all activities using animal subjects that are supported by NASA and conducted in NASA facilities, aircraft, or spacecraft, or activities, using animal subject conducted under a contract, grant, cooperative agreement, memorandum of understanding, or joint endeavor agreement entered into by NASA and another Government agency, private entity, non-Federal public entity, or foreign entity which are included within the scope of this part.

§ 1232.102 Policy.

It is the National Aeronautics and Space Administration's (NASA) policy to comply with the Animal Welfare Act of 1966 (Pub. L. 89-544) which requires that minimum standards of care and treatment be provided for certain animals bred for use in research. To implement the provisions of this Act, NASA promulgated the following internal policies and requirements:

- (a) NASA Policy Directive (NPD) 8910.1, Care and Use of Animals, describes the policy and responsibilities for conducting activities involving vertebrate animals. NPD 8910.1 is accessible at http://nodis3.gsfc.nasa.gov/; and
- (b) NASA Procedural Requirements (NPR) 8910.1, Care and Use of Animals, delineates the responsibilities and implements requirements for the Agency's use of animals in research, testing, teaching, and hardware development activities. NPR 8910.1 is accessible is access at http://nodis3.gsfc.nasa.gov/.

PART 1240—INVENTIONS AND CONTRIBUTIONS

Subpart 1—Awards for Scientific and **Technical Contributions**

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AUTHORITY: Section 20136 of the National Aeronautics and Space Act (51 U.S.C. 20136), and the Federal Technology Transfer Act of 1986, sec. 12, 15 U.S.C. 3710b(1).

SOURCE: 67 FR 31120, May 9, 2002, unless otherwise noted.

Subpart 1—Awards for Scientific and Technical Contributions

§ 1240.100 Purpose.

This subpart prescribes procedures for submitting applications for monetary awards to the Administrator of NASA for scientific and technical contributions which have significant value in the conduct of aeronautical and space activities pursuant to 51 U.S.C. 20136, and establishes the awards program consistent with the Federal Technology Transfer Act of 1986, section 12, 15 U.S.C. 3710b(1).

[77 FR 27366, May 10, 2012]

§ 1240.101 Scope.

This subpart applies to awards for any scientific or technical contribution, whether or not patentable, which is determined by the Administrator after referral to the Inventions and Contributions Board to have significant value in the conduct of aeronautical and space activities, upon submission of an application for award to NASA, or upon the Administrator's own initiative, under 51 U.S.C. 20136.

[77 FR 27366, May 10, 2012]

§1240.102 Definitions.

As used in this subpart:

- (a) Administrator means the Administrator of the National Aeronautics and Space Administration.
- (b) Board means the NASA Inventions and Contributions Board.
- (c) Chairperson means the Chairperson of the NASA Inventions and Contributions Board.
- (d) Commercial quality refers to computer software that is not in an experimental or beta phase of development,

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that performs in accordance with its specifications, and includes documentation describing the software's form and function.

- (e) Contract means any contract, agreement, understanding, or other arrangement with NASA or another Government Agency on NASA's behalf, including any assignment, substitution of parties, or subcontract executed or entered into thereunder.
- (f) Contractor means the party who has undertaken to perform work under a contract or subcontract.
- (g) *Innovation* means a mathematical, engineering or scientific concept, idea, design, process, or product.
- (h) *Innovator* means any person listed as a contributor, inventor, or author of an innovation.
- (i) Invention includes any act, method, process, machine, manufacture, design, or composition of matter, or any new and useful improvement thereof, or any variety of plant, which is or may be patentable under the patent laws of the United States or any foreign country.
- (j) Qualified User means any person that has legally acquired computer software and has the right to use it for a legal purpose.
- (k) Verified means passing rigorous testing to ascertain whether the functionality claimed in the innovation's documentation is realized.

[67 FR 31120, May 9, 2002, as amended at 77 FR 27366, May 10, 2012]

§ 1240.103 Criteria.

- (a) Only those contributions to NASA which have been:
- (1) Used in a NASA program or adopted or sponsored or supported by NASA, and
- (2) Found to have significant value in the conduct of aeronautical and space activities, will be recommended for award under this subpart.
- (b) In determining the amount, terms, and conditions of any award, the following criteria will be considered:
- (1) The value of the contribution to the United States;
- (2) The aggregate amount of any sums which have been expended by the applicant for the development of such contribution;

- (3) The amount of any compensation (other than salary received for services rendered as an officer or employee of the Government) previously received by the applicant for or on account of the use of such contributions by the United States; and
- (4) Such other factors as the Administrator shall determine to be material.

§ 1240.104 Applications for awards.

- (a) Eligibility. Applications for award may be submitted by any person including any individual, partnership, corporation, association, institution, or other entity. An application for an award under this section is separate from application for an award under \$1240.105 and may be submitted whether or not the contribution is also eligible for an award under \$1240.105.
- (b) Information required. Applications for award should be addressed to the Inventions and Contributions Board (herein referred to as the Board), National Aeronautics and Space Administration, Washington, DC 20546-0001, and will contain:
- (1) The name and address of the applicant, the person's relationship to the contributor if the contribution is made by one other than the applicant, and the names and addresses of any others having information as to the value or usage of the contribution;
- (2) A complete written description of the contribution, in the English language, using electronic media, accompanied by drawings, sketches, diagrams, or photographs illustrating the nature of the contribution and the technical and scientific principles upon which it is based, any available test or performance data or observations of pertinent scientific phenomena, and the aeronautics or space application of the contribution;
- (3) The date and manner of any previous submittal of the contribution to any other United States Government agency, and the name of such agency;
- (4) The aggregate amount of any sums which have been expended by the applicant for the development of the contribution;
- (5) The nature and extent of any known use of the contribution by the United States and by any agency of the United States Government;